

EXHIBIT A

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please print or type)

Elaine Rosenberg c/o Gerald Rosenberg

Name of Customer: c/o Christopher M. Mason, Nixon Peabody LLP

Mailing Address: 437 Madson Avenue

City: New York **State:** New York **Zip:** 10022

Account No.: 1R0115

Taxpayer I.D. Number (Social Security No.): 074-22-3010

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008:**

- a. The Broker owes me a Credit (Cr.) Balance of \$ 747,622.00
- b. I owe the Broker a Debit (Dr.) Balance of \$ 747,621.13
- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, **it must be enclosed**
with this claim form. \$.00
- d. If balance is zero, insert "None." \$0.87

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>X</u>	<u> </u>
b. I owe the Broker securities	<u> </u>	<u>X</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
<u> </u>	<u>See attached Exhibit 1</u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor. See attached Exhibit 2

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|----------------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain. | _____ | <u>X</u> _____ |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker? | _____ | <u>X</u> _____ |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____ | <u>X</u> _____ |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s) | _____ | <u>X</u> _____ |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming. | _____ | <u>X</u> _____ |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers. | _____ | <u>X</u> _____ |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. | _____ | <u>X</u> _____ |

Please list the full name and address of anyone assisting you in the preparation of this claim form: I am represented by counsel. Please copy my counsel on all

communications: Christopher M. Mason, Nixon Peabody LLP, 437 Madison Avenue, New York, New York 10022.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 6/22/09 Signature ER

Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

This customer claim is being filed under compulsion of the bar date established by the Court in this proceeding and to protect the claimant from forfeiture of its claim by reason of that bar date. Claimant may choose to file additional proofs of claim against Bernard L. Madoff Investment Securities LLC or others related to it. The filing of this claim shall neither, except to the extent provided by the Securities Investor Protection Act, 15 U.S.C. §78aaa *et seq.*, be deemed or construed as a waiver or release of the claimant's rights against any person, entity, or property; nor as a consent to jurisdiction in any court; nor as a waiver or release of any right to trial by jury; nor as a consent to any trial by jury; nor as a waiver of any right to review *de novo* by any federal district court of any order by any bankruptcy court, nor as a waiver of any objection or defense to any claim against claimant; nor as an election of remedies.

EXHIBIT 1

EXHIBIT 1

Elaine Rosenberg C/O Gerald Rosenberg		Account Number: 1R0115		
Security / Fund / Option	Symbol	Bought (Long)	Sold (Short)	12/11/08 Value (15 U.S.C. § 78III(7))
STOCK				
Abbott Laboratories	ABT	2116		\$107,979.48
Amgen Inc	AMGN	1448		\$82,898.00
Apple Inc	AAPL	1225		\$116,375.00
AT & T inc	T	7906		\$220,893.64
Bank of America	BAC	6681		\$99,613.71
Chevron Corp	CVX	2784		\$221,216.64
Cisco Systems Inc	CSCO	8129		\$137,461.39
Citi Group Inc	C	7238		\$54,791.66
Coca Cola Co	KO	2673		\$118,200.06
Comcast Corp	CMCSA			\$0.00
Conocophillips	COP	2005		\$105,884.05
Exxon Mobil Corp	XOM	7016		\$561,420.32
General Electric Co	GE	14142		\$241,121.10
Google	GOOG	335		\$100,573.70
Hewlett Packard CO	HPQ	3341		\$116,199.98
Intel Corp	INTC	7684		\$107,652.84
International Business Machines	IBM	1893		\$152,537.94
J.P. Morgan Chase & Co	JPM	5011		\$150,029.34
Johnson & Johnson	JNJ	3675		\$214,068.75
McDonalds Corp	MCD	1559		\$94,896.33
Merck & Co	MRK	2896		\$76,570.24
Microsoft Corp	MSFT	10579		\$205,761.55
Oracle Corporation	ORCL	5345		\$87,978.70
Pepsico Inc	PEP	2116		\$111,534.36
Pfizer Inc	PFE	9020		\$149,371.20
Philip Morris International	PM	2784		\$116,788.80
Procter & Gamble Co	PG	4009		\$234,847.22
Qualcomm Inc	QCOM	2227		\$72,577.93
Schlumberger Ltd	SLB	1671		\$70,766.85
United Parcel Svc Inc	UPS	1337		\$69,296.71
United Technologies Corp	UTX	1337		\$62,945.96

[illegible]

EXHIBIT 2

Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

ELAINE ROSENBERG
C/O GERALD ROSENBERG
CORTEC
200 PARK AVE 20TH FLOOR
NEW YORK NY 10166

11/30/08
1-R0115-3-0
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DATE	BUYER'S ACCOUNT NO.	BUYER'S NAME	AMOUNT DEDUCTIBLE TO YOUR ACCOUNT
11/12	365,894.26	BALANCE FORWARD	365,894.26
11/12	16,117.60	WELLS FARGO BANK	16,117.60
11/12	13,314.60	WELLS FARGO BANK	13,314.60
11/12	190,232.98	WAL-MART STORES INC	190,232.98
11/12	97,270.00	INTERNATIONAL BUSINESS MACHINE	97,270.00
11/12	13,314.60	WELLS FARGO BANK	13,314.60
11/12	257,736.34	JOHNSON & JOHNSON	257,736.34
11/12	227,269.35	J.P. MORGAN CHASE & CO	227,269.35
11/12	102,621.50	WELLS FARGO BANK	102,621.50
11/12	97,377.30	WELLS FARGO BANK	97,377.30
11/12	271,822.65	WELLS FARGO BANK	271,822.65
11/12	145,280.98	WELLS FARGO BANK	145,280.98
11/12	180,174.36	WELLS FARGO BANK	180,174.36
11/12	13,314.60	WELLS FARGO BANK	13,314.60
11/12	302,389.89	WELLS FARGO BANK	302,389.89
11/12	100,817.48	AMGEN INC	100,817.48
11/12	142,321.00	PHILLIP MORRIS INTERNATIONAL	142,321.00
11/12	170,111.00	WELLS FARGO BANK	170,111.00
11/12	60,581.40	WELLS FARGO BANK	60,581.40
11/12	106,852.65	CITI GROUP INC	106,852.65

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
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BERNARD L. MADOFF
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New York □ London

ELAINE ROSENBERG
C/D GERALD ROSENBERG
CORTEC
200 PARK AVE 20TH FLOOR
NEW YORK NY 10166

11/30/08

11/30/08

1-20115-3-0

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08-01780-1gm Dec 2003-1 Filed 03/16/10 16:31:03 Exhibit A

11/12	20,869	27281	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	✓ 20,869.00
11/17		313	ADDISON LABORATORIES	548,500	✓ 14,130
11/17		255	AMEEN INC	55,470	✓ 14,130
11/17		1,170	BANK OF AMERICA	17	✓ 14,130
11/17		101	CONCRETE CORP	10,970	✓ 14,130
11/17		383	CL A	66,560	✓ 14,130
11/17		471	CONCORDIUS	57,000	✓ 14,130
11/17		2,495	CHEVRON CORP	16,290	✓ 14,130
11/17		58	GENERAL ELECTRIC CO	291	✓ 14,130
11/17		334	INTERNATIONAL BUSINESS MACHS	13,520	✓ 14,130
11/17		1,355	INTEL CORP	57,820	✓ 14,130
11/17		648	JOHNSON & JOHNSON	34,570	✓ 14,130
11/17		475	JOHNSON & JOHNSON	43,850	✓ 14,130
11/17		275	MC DONALDS CORP	54,020	✓ 14,130
11/17		510	MERCK & CO	26,720	✓ 14,130
11/17		1,170	ORACLE CORPORATION	20,340	✓ 14,130
11/17		373	PEPSICO INC	16,470	✓ 14,130
11/17				53,100	✓ 19,710

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DATE	QTY	PRICE	AMOUNT	DESCRIPTION	UNIT	PRICE	AMOUNT	DATE
11/17	1,591	31705	15.830	PFIZER INC		15.830	15.830	11/17
11/17	707	31710	61.850	PROCTER & GAMBLE CO		61.850	61.850	11/17
11/17	51720	31720	51.970	ROCHE INC		51.970	51.970	11/17
11/17	593	31725	44.990	SCHLUMBERGER LTD		44.990	44.990	11/17
11/17	294	31730	25.180	ALCOA INC		25.180	25.180	11/17
11/17	1,395	31740	25.170	U S BANCORP		25.170	25.170	11/17
11/17	412	31745	52.620	UNITED TECHNOLOGIES CORP		52.620	52.620	11/17
11/17	735	31750	52.620	WAL-MART STORES INC		52.620	52.620	11/17
11/17	510	31760	52.620	EXXON MOBIL CORP		52.620	52.620	11/17
11/17	1,737	31765	25.170	U S BANCORP		25.170	25.170	11/17
11/17	1,200	31848	1,200.000.00	U S BANCORP		1,200.000.00	1,200.000.00	11/17
11/17	20,869	30063	1,666.00	FIDELITY SPARTAN		1,666.00	1,666.00	11/17
11/17	1,666	49169	1,666.00	U S TREASURY MONEY MARKET		1,666.00	1,666.00	11/17

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ELAINE ROSENBERG
C/O GERALD ROSENBERG
CORTEC
200 PARK AVE 20TH FLD
NEW YORK

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DATE	AMOUNT	DESCRIPTION	DIV	PRICE
11/19		FIDELITY SPARTAN U.S. TREASURY MONEY MARKET		
11/19	29000	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET		
11/19	125,000	U.S. TREASURY BILL	88.926	125,000
11/19	12,452	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	12,452.00
11/19		NEW DEXCEL		
11/19		SECURITY POSITIONS		
11/19	24,118	ROBERTSON LABORATORIES		52.550
11/19	1,448	AMGEN INC		55.540
11/19	1,225	APPLE INC		92.670
11/19	2,784	CHEVRON CORP		74.510
11/19	8,129	CISCO SYSTEMS INC		16.540
11/19	7,218	CELL GROUP INC		8.290
11/19	2,005	CONOCOPHILIPS		32.950
11/19	7,016	EXXON MOBIL CORP		80.150

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CORTEC
2200 PARK AVE 20TH FLO
NEW YORK

10166

11/30/08

1-R0115-3-0

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DATE	QUANTITY	DESCRIPTION	UNIT PRICE	TOTAL
	14,142	GENERAL ELECTRIC CO	17.170	242,860
	335	GOOGLE	292,960	98,340
	17,884	INTEL CORP	119,000	2,128,000
	1,893	INTERNATIONAL BUSINESS MACHS	81.500	154,388
	5,011	J.P. MORGAN CHASE & CO	31.660	156,763
	3,079	MC DONALD'S CORP	56.750	174,653
	1,559	MERCK & CO	26.720	41,662
	2,896	MICROSOFT CORP	20.220	58,562
	10,579	PEPSICO INC	18.400	194,640
	5,340	PFIZER INC	50.400	269,136
	2,116	PHILIP MORRIS INTERNATIONAL	16.430	34,764
	9,020	SCHLUMBERGER LTD	42.150	380,340
	2,784	SEIDELT SPARIN	60.150	167,608
	4,009	UNITED PARCEL SVC INC	35.510	142,350
	2,227	CLASS B	50.740	112,998
	1,671	CLASS C	1	1,671
	12,452	UNITED PARCEL SVC INC	26.980	335,842
	1,139	UNITED PARCEL SVC INC	57.600	65,492
	1,337	UNITED PARCEL SVC INC	99.971	133,700
	325,000	UNITED PARCEL SVC INC	3/26/2009	325,000

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11/30/08

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1-337	UNITED TECHNOLOGIES CORP	48-530
3-726	VERIZON COMMUNICATIONS	72-650
4-011	WELLS FARGO & CO NEW	288690
	MARKET VALUE OF SECURITIES	
	29142920054	

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

1-R0115-3-0

Fax (212) 838-4061

New York ☐ London

NEW YORK

YEAR-TO-DATE SUMMARY

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Madoff Securities International Limited
 12 Berkeley Street
 London W1J 8DT
 Tel 020 7493 6222

Cvt Short Debit / Short Sell
Buy Debit / Sale

11/30/08 1

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			BALANCE FORWARD			365,895
11/12	buy 131	48289	NOVEMBER 400 CREL S E P 100 INDEX NOVEMBER 450 BWT	17.800	233,311.00	
11/17	sale	19 31695	NOVEMBER 400 CREL S E P 100 INDEX NOVEMBER 450 BWT	40.600		77,121
11/19	buy 112	38718	DECEMBER 400 CREL S E P 100 INDEX DECEMBER 420 BWT	30	336,112.00	
11/19	sale	112 47368	NOVEMBER 400 CREL S E P 100 INDEX NOVEMBER 450 BWT	37		414,286
			NEW DARNICE			
			SECURITY POSITIONS			
	112		DECEMBER 400 CREL S E P 100 INDEX DECEMBER 420 BWT	16.500		
			MARKET VALUE OF SECURITIES LONGS 104,000.00			
			SHORTS 260,000.00			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES